

PTO/SB/21 (09-04)

Date March 10, 2005

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/803.600 Application Number Filing Date 03-17-2004 TRANSMITTAL First Named Inventor Keith Nakakura MAR 1 4 2005 **FORM** Art Unit 1761 **Examiner Name** (to be use Not Assigned Yet for all correspondence after initial filing) Attorney Docket Number 22985-602 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Drawing(s) Fee Transmittal Form Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a **Proprietary Information** After Final Provisional Application Status Letter Affidavits/declaration(s) Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please Identify **Extension of Time Request** Terminal Disclaimer below): Information Disclosures Request for Refund Statement by Applicant Form **Express Abandonment Request** PTO/SB/08a; Copies of 14 U.S. CD, Number of CD(s) \_\_ Information Disclosure Statement and Foreign Patent Documents Landscape Table on CD as cited on the Information Certified Copy of Priority Disclosure Form PTO/SB/08a; Document(s) Certificate of Mailing (First Response to Missing Parts/ Class); Authorization to Charge Incomplete Application Deposit Account 50-1847; and Response to Missing Parts Return Receipt Postcard. under 37 CFR 1.52 or 1.53 Remarks SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Manatt Phelps and Phillips, LLP Signature Printed name Greg T. Warder Date March 10, 2005 Reg. No. 50,208 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class main in an envelope addressed to. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours transposed to the user of the completed application form to the USPTO. Time will vary depending upon the individual case.

Typed or printed name Sheena Hicks



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

10/803,600

Confirmation No. 7373

**Applicant** 

Keith Nakakura et al.

Filed:

03-17-2004

TC/A.U.

1761

Examiner

Not Yet Assigned

Docket No.

22985-602

Customer No.

36614

For

**BEVERAGE BASE** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

Enclosed is a copy of the Information Disclosure Statement Citation Form PTO/SB/08A together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of the Information Disclosure Statement Form PTO/SB/08A be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

## FIRST CLASS CERTIFICATE OF MAILING

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on March 10, 2005

Date of Deposit

Sheena Hicks

Name of Person Mailing Correspondence

Signature 20130207.1

Date

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited for this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

<u>X</u>	37 C.F.R. § 1.97(b).
	37 C.F.R. § 1.97(c). If so, then enclosed with this Information Disclosure Statement is <u>one</u> of the following:
-	A statement pursuant to this 37 C.F.R. § 1.97(e); or
<del></del>	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
	37 C.F.R. § 1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
	(1) A statement pursuant to this 37 C.F.R. § 1.97(e); and
	(2) A check for \$ for the fee under 37 C.F.R. § 1.17(p) for the submission of the Information Disclosure Statement.

Application No. 10/803,600 Information Disclosure Statement dated March 10, 2005

The Commissioner is hereby authorized to charge any additional fees to Manatt, Phelps & Phillips' Deposit Account No. 50-1847 or to credit any overpayment to the same for all matters during the prosecution of the subject application.

Respectfully submitted,

MANATT, PHELPS & PHILLIPS Attorneys for Applicants

Date: March 10, 2005

Greg T. Warder Registration Number 50,208

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U.S. PATENT DOCUMENTS

Substitute for form 1449A/PTO

MAR 1 4 2005 INFORMATION DISCLOSURE

Complete if Known 10/803,600 Application Number Filing Date 03-17-2004 First Named Inventor Keith Nakakura Art Unit 1761 Not Assigned Yet **Examiner Name** 

(Use as many sheets as necessary)

STATEMENT BY APPLICANT

Sheet 1

22985-602 Attorney Docket Number

Examiner	Cite	Document Number Publication I				Pages, Columns, Lines, Where			
Initials*	Cite No. <sup>1</sup>	Number-Kind Code 2 (if known)	MM-DD-YYYY		Applicant of Cited Document		Relevant Passages or Relevant Figures Appear		Ł
	1	<sup>US-</sup> 2003/0232115	12-18-2	003	Ecken	hausen et al.			
	2	<sup>US-</sup> 2002/0170925	11-21-2	002	Friedn	nan			
	3	us- 6,438,987	08-27-2	002	Pahl				
	4	<sup>US-</sup> 6,289,796	09-18-2	001	Fung				
	5	<sup>US-</sup> 6,287,515	09-11-2	001	Koosn	Koosman et al.			
	6	<sup>US-</sup> 6,056,157	05-02-2			et al.			
	7	<sup>US-</sup> 5,799,832	09-01-1				· · · · · · · · · · · · · · · · · · ·		
	8	<sup>US-</sup> 5,388,429	02-14-1	995	D89 Livingston et al. D85 Horky				
	9	<sup>US-</sup> 4,848,381	07-18-1	989					
	10	<sup>US-</sup> 4,530,370	07-23-1	985.					
	11	<sup>US-</sup> 3,498,502	03-03-1	970					
	12	<sup>US-</sup> 2,873,892	02-17-1	959 Nelso		n et al.			
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			FOREIGN F	PATEN	T DOCI	JMENTS			
Examiner Initials*	Cite	Foreign Patent Docur			tion Date	Name of Patentee or		Pages, Columns, Lines,	T
	No. 1	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)		MM-DD-YYYY		Applicant of Cited Document		Where Relevant Passages or Relevant Figures Appear	Т 6
	13	EP 0287194		10-19-1988		Beta Technology Inc.			$\Box$
	14	JP 09028575		02-04-1997		Touyoubou Eng KK			1
									<u> </u> .

Examiner Date Signature Considered	 	 	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in\_conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of Information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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on _	March 10, 2005
	Date of Deposit
	/ / Sheena Hicks
	Name of Person Malling Correspondence
	Stew/ Kill 3-10-2005
Signa	Date

20130209.1

Serial/Patent No. 10/803,600 Filing/Issue Date: March 17, 2004

TC/A.U.: 1761

Confirmation No.: 7373 Client: Jamba Juice Company

Applicant: KEITH NAKAKURA, et al.

Title: BEVERAGE BASE MPP File No.: 22985-602

Atty/Secty Initials: GTW/sh

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Date Mailed: March 10, 2005

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

☑ Transmittal Letter, in duplicate (1 pg.)

☑ Information Disclosure Statement (3 pgs.)

☑ Information Disclosure Statement By Applicant Form PTO/SB/08a (1 pg.)

☑ Copies of 14 U.S. and Foreign Patent Documents as cited on the Information Disclosure Form PTO/SB/08a

☑ Certificate of Mailing (1 pg.) (1st Class Mail)

☑ Authorization to Charge Deposit Account 50-1847

☑ Return Receipt Postcard